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17157 U.S. PTO

PTO/SB/05 (05-03)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. B0410/7273D1

First Inventor Robert L. Cafferata

Title SYSTEMS AND METHODS FOR TREATING ISCHEMIA

Express Mail Label No. EV340040289US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 30]
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 4 ]
5. Oath or Declaration [Total Sheets 1 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

 Commissioner for Patents  
 Mail Stop Patent Application  
 P.O. Box 1450  
 Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. 3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: check for \$856.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: 09 / 159,834

Prior application information: Examiner Jacqueline F. Stephens

Art Unit: 3761

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 022832 or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	John F. Perullo	Registration No. (Attorney/Agent)	39,498
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Signature	John F. Perullo	Date	January 29, 2004
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This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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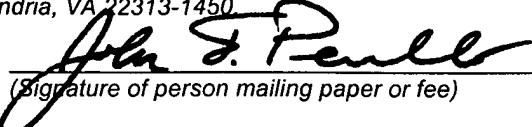
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PATENT  
ATTORNEY'S DOCKET NO.: B0410/7273D1

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(Typed or printed name of person mailing paper or fee)

  
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SIR:

TRANSMITTED HEREWITH FOR FILING IS A PATENT APPLICATION OF:

INVENTOR(S): ROBERT L. CAFFERATA

FOR: SYSTEMS AND METHODS FOR TREATING ISCHEMIA

ENCLOSED ARE:

- UTILITY PATENT APPLICATION TRANSMITTAL
- APPLICATION DATA SHEET
- PRELIMINARY AMENDMENT
- A SPECIFICATION AND CLAIMS INCLUDING 30 PAGES
- COPY OF DECLARATION AND POWER OF ATTORNEY  
(FROM PARENT APPLICATION 09/159,834)
- FOUR (4) SHEETS OF FORMAL DRAWINGS (FIGS.1-7)
- INFORMATION DISCLOSURE STATEMENT AND PTO FORM 1449, IN DUPLICATE)

CLAIMS AS FILED					
FOR	NUMBER FILED		NUMBER EXTRA	RATE	BASIC FEE
TOTAL CLAIMS	14	-20	0	X \$18.00	\$770.00
INDEPENDENT CLAIMS	4	-3	1	X \$86.00	\$ 86.00
				TOTAL FILING FEE	\$856.00

A CHECK IN THE AMOUNT OF \$856.00 TO COVER THE FILING FEE IS ENCLOSED.

PLEASE CHARGE OUR DEPOSIT ACCOUNT NO. 50-1721 IN THE AMOUNT OF \$\_\_\_\_\_ A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL FEES WHICH MAY BE REQUIRED, OR CREDIT ANY OVERPAYMENT TO ACCOUNT NO. 50-1721. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.

John F. Perullo  
JOHN F. PERULLO  
REGISTRATION NO. 39,498

~~JOHN F. PERUCCIO~~

REGISTRATION NO. 39.498

## KIRKPATRICK & LOCKHART LLP

75 STATE STREET

BOSTON, MASSACHUSETTS 02109-1808

TEL: (617) 261-3100

CUSTOMER NO.: 022832

**ATTORNEYS OF RECORD**

DOCKET NO.: B0410/7273D1

DATE: JANUARY 29, 2004